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|--|---|---|
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| 11   | Attorneys for Plaintiffs  | 615-225-5402 1 acsimile                                   |
| 11   |   |   |
| 12   | UNITED STATES   | DISTRICT COURT  |
|  | NORTHERN DISTRICT OF CALIFORNIA   |   |
| 13   |   |   |
| 14   | CDECODY D. DAIEMAN and CHCAN  | Casa Na . No. C 11 02005 CD A                             |
|  | GREGORY R. RAIFMAN and SUSAN RAIFMAN, husband and wife, individually  | Case No.: No. C 11-02885 SBA                              |
| 15   | and on behalf of their marital community  |   |
| 1.   | and as Trustees of the RAIFMAN FAMILY   |   |
| 16   | REVOCABLE INTER VIVOS TRUST and   |   |
|  |   |   |
|  | as beneficiaries of the PALLADIAN   |   |
| 17   | TRUST; GEKKO HOLDINGS, LLC, and   | STIPULATION FOR DISMISSAL                                 |
| 17   | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD;   | OF PLAINTIFF, HELICON                                     |
| 17<br>18   | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as  |   |
| 17   | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE  | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19                                     | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J.  | OF PLAINTIFF, HELICON                                     |
| 17<br>18   | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S   | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19<br>20                               | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL   | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19<br>20<br>21                         | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of  | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19<br>20                               | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of REDBIRD INVESTMENT GROUP, LLC.,  | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19<br>20<br>21<br>22                   | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of  | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19<br>20<br>21                         | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of REDBIRD INVESTMENT GROUP, LLC.,  Plaintiffs,   | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19<br>20<br>21<br>22<br>23             | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of REDBIRD INVESTMENT GROUP, LLC.,  | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19<br>20<br>21<br>22<br>23<br>24       | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of REDBIRD INVESTMENT GROUP, LLC.,  Plaintiffs,  vs.  | OF PLAINTIFF, HELICON                                     |
| 17<br>18<br>19<br>20<br>21<br>22<br>23<br>24<br>25 | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of REDBIRD INVESTMENT GROUP, LLC.,  Plaintiffs,   | OF PLAINTIFF, HELICON                                     |
| 17   18   19   20   21   22   23   24   25   26    | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of REDBIRD INVESTMENT GROUP, LLC.,  Plaintiffs,  vs.  WACHOVIA SECURITIES, LLC, N/K/A WELLS FARGO ADVISORS LLC, | OF PLAINTIFF, HELICON                                     |
| 17   18   19   20   21   22   23   24   25         | TRUST; GEKKO HOLDINGS, LLC, and HELICON INVESTMENTS, LTD; EDWARD and LORRAINE KURATA, as husband and wife; JAMES LOOMIS; JEFFREY CHOU; and BRUCE CARDINAL, as Trustee of the ROBERT J. CARDINAL GRANDCHILDREN'S TRUST, and the MARION I. CARDINAL TRUST, and as Managing Member of REDBIRD INVESTMENT GROUP, LLC.,  Plaintiffs,  vs.  WACHOVIA SECURITIES, LLC, N/K/A                           | OF PLAINTIFF, HELICON                                     |

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| 1        | Plaintiffs propose to dismiss Helicon Investments, LLC as a named Plaintiff in the           |  |
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| 2        | above-captioned case, with prejudice, and Defendant stipulates and agrees to said dismissal. |  |
| 3        | The parties understand and agree that the remaining Plaintiffs in this case are Gregory R.   |  |
| 4        | Raifman and Susan Raifman, husband and wife, individually and on behalf of their marital     |  |
| 5        | community and as Trustees of Plaintiff, The Raifman Family Revocable Inter Vivos Trust, as   |  |
| 6        | beneficiaries of Plaintiff, The Palladian Trust, as sole members of Gekko Holdings, Ltd.;    |  |
| 7        |  |  |
| 8        | Edward and Lorraine Kurata, husband and wife; James Loomis; Jeffrey Chou and Bruce           |  |
| 9        | Cardinal, as Trustee of the Robert J. Cardinal Grandchildren's Trust, and as Trustee of the  |  |
| 10       | Marion I. Cardinal Trust, and as Managing Member of Redbird Investment Group, LLC.           |  |
| 11       | Dated: April 16, 2013 Tod Aronovitz ( <i>Pro Hac Vice</i> )                                  |  |
| 12<br>13 | Barbara Perez ( <i>Pro Hac Vice</i> ) Andrew Zelmanowitz ( <i>Pro Hac Vice</i> )             |  |
|          | ARONOVITZ LAW  |  |
| 14<br>15 | By: /s/ Tod Aronovitz  |  |
|          | Tod Aronovitz  |  |
| 16       | Attorneys for Plaintiffs   |  |
| 17<br>18 | Dated: April 16, 2013 Ronald E. Wood   |  |
| 19       | Jennifer L. Roche PROSKAUER ROSE LLP   |  |
| 20       | TROSINICER ROSE EE   |  |
| 21       | By: /s/ Ronald E. Wood Ronald E. Wood  |  |
| 22       | Attorneys for Defendant  |  |
| 23       | Attorneys for Defendant  |  |
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| 27<br>28 |  |  |
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PURSUANT TO STIPULATION, IT IS SO ORDERED.

Dated: 4/18/13

United States District Judge